



Reconstruction of the Nearshore Surface Wave Field Via Assimilation of Remote Sensing Data

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Coastal & Ocean Engineering



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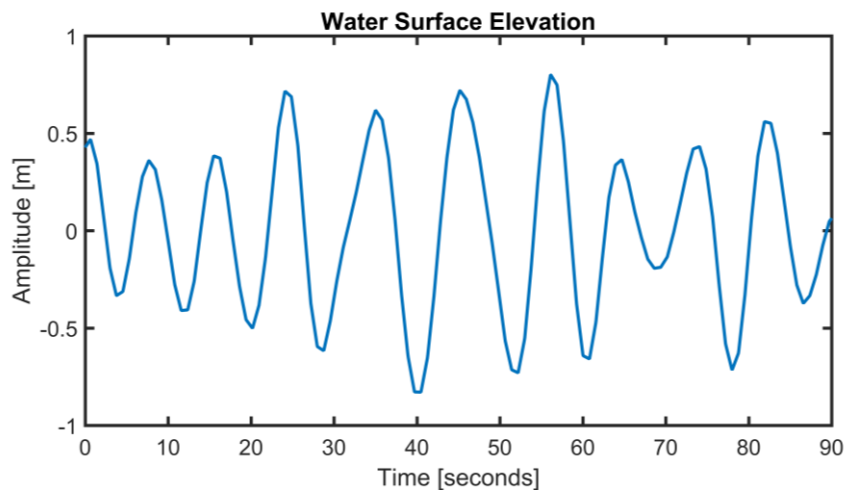


Value & Availability of Phase-Resolved Wave Data

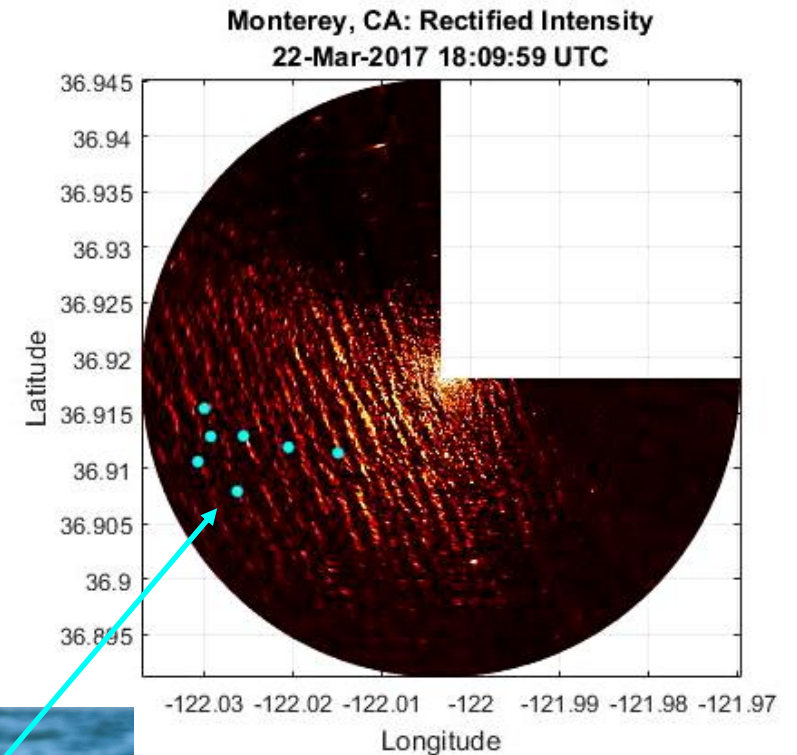


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- Vast majority of available wave data is spectral
- Nearshore processes are often on the time and spatial scales of wave groups, not resolved by bulk statistics
- Wave profiling buoys provide in situ phase-resolved measurements at single locations in space
- Remote sensing can provide phase-resolved information over spatial scales of kilometers



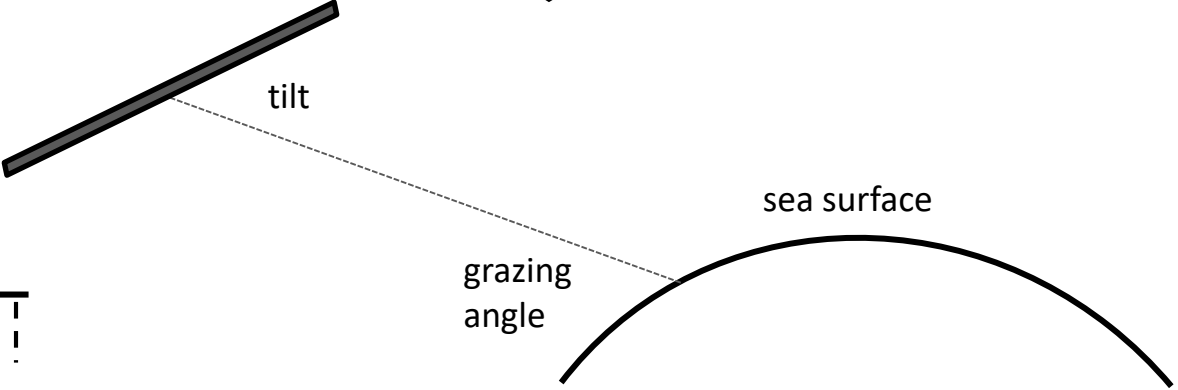
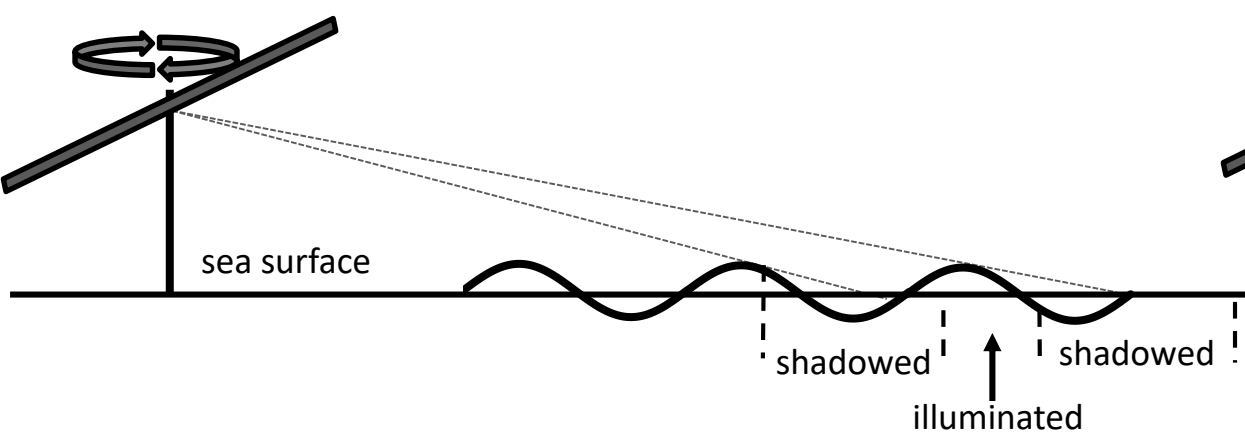
Wave profiling buoy (Spoondrift)



Radar Imaging of Ocean Waves



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Platform-based
Radar Height up to 100m

Shore-based
Radar Height < 45 m

Vessel-based
Radar Height < 10 m



Background: Wave Estimation from Radar

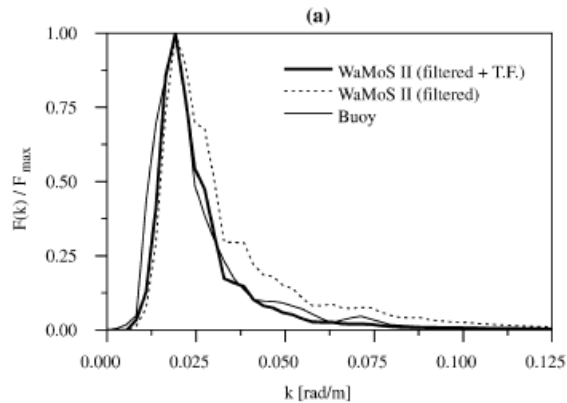


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Bulk Wave Parameters (H_{sig} , T_p , θ_{mean})

3D-DFT Approaches

- Wave-like spectrum from radar using Modulation Transfer Function
- H_{sig} proportional to SNR
- Calibration to buoy required

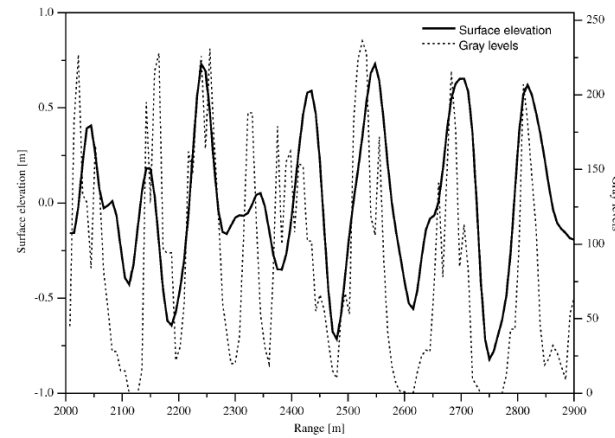


(Nieto Borge et al., 2004)

Texture-based Approaches

- Utilize radar imaging mechanisms (shadowing, surface tilt)
- Often calibration-free

Phase-Resolved Wave Parameters (η , θ)



3D-DFT + IFFT

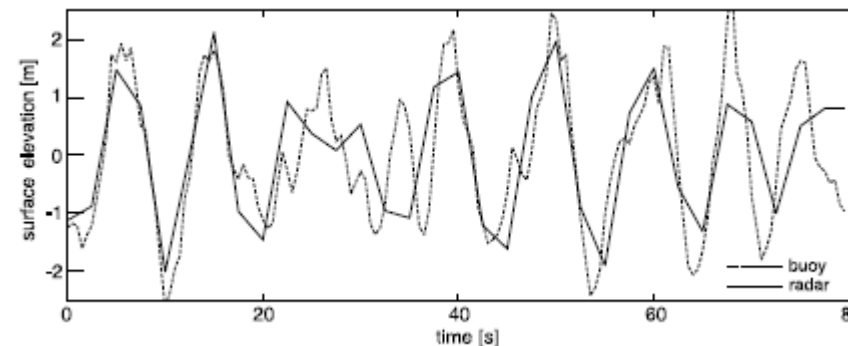
(Nieto Borge et al., 2004)

- MTF approach, with IFFT to phase-resolved
- Calibration to buoy required

Surface Tilt

(Dankert & Rosenthal, 2004)

- No calibration
- Radar must be sufficiently high

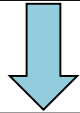


How will we get from backscatter intensity to water surface elevation?



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Radar transmits and receives backscatter intensity from sea surface.



Radar imaging model extracts wave slope information from intensity.



Adjoint assimilation model iterates solution to a physics-based wave model until modeled waves match observations of slope (cost function minimization).



The result is a reconstruction of the surface wave field.

Imaging Model of Lyzenga & Walker

- Has not been field tested
- Applies only to un-shadowed regions of sea surface

Lyzenga & Walker (2015)
A Simple Model for Marine Radar Images of the Ocean Surface.

IEEE Geoscience and Remote Sensing Letters

Surface Elevation Reconstruction Algorithm

Adjoint Equations: note similarity to Mild Slope Eqns

$$\alpha_{tr} = -\nabla \cdot (CC_g \nabla \psi) + (\omega^2 - k^2 CC_g) \psi$$

$$\psi_{tr} = -\alpha + M(\eta_r - \eta_r^{obs})_r$$

Haller, Simpson, Walker, Lynett, Pittman (2017)
Assimilation of Wave Imaging Radar Observations for
Real-Time Wave-by-Wave Forecasting.

Final Report DOE-OSU-06789

USC



Radar Intensity to Wave Slope



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Radar imaging model of Lyzenga & Walker:
(Lyzenga & Walker, 2015: IEEE GRSL)

$$\eta_r(r, \phi) = \frac{h}{r} \left[\frac{I(r, \phi)}{\langle I(r, \phi) \rangle} - 1 \right]$$

η_r = radial component of slope
 h = radar height
 r = range
 I = Intensity
 $\langle I \rangle$ = ensemble averaged I

Intensity represented by Normalized Radar Cross Section (Valenzuela, 1978) and log-amplified power law

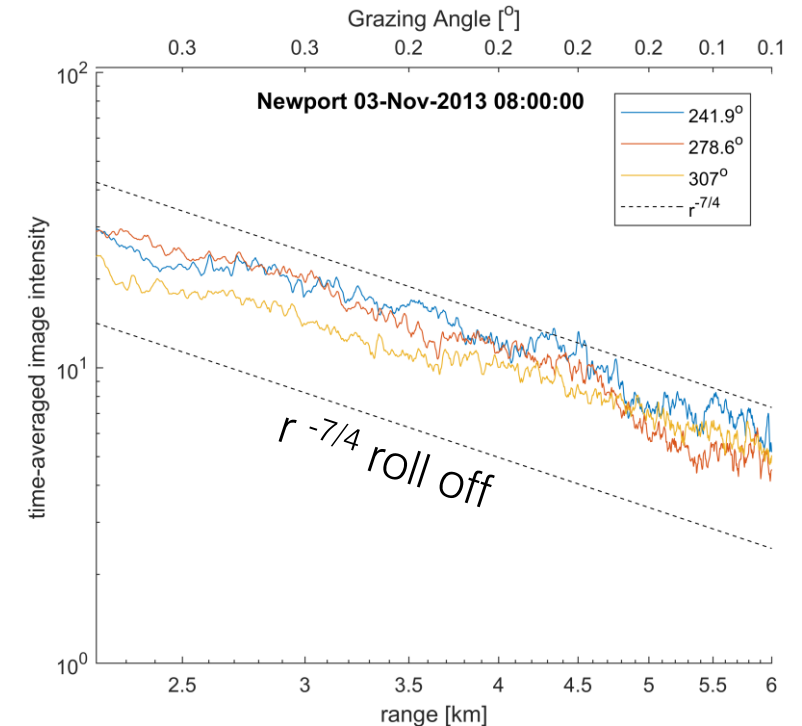
$$I(r, \phi) = C(\phi) e^{(-a/r)^2} r^{-3/4} [\eta_r + h/r]$$

Ensemble-avg. intensity found using geometric shadowing simplifications

$$\langle I(r, \phi) \rangle = C(\phi) e^{(-a/r)^2} h r^{-7/4} \longrightarrow$$

Assumptions:

- small grazing angles
- antenna height is much larger than the surface elevation
- time-avg radar signal has a $r^{-7/4}$ roll off with range



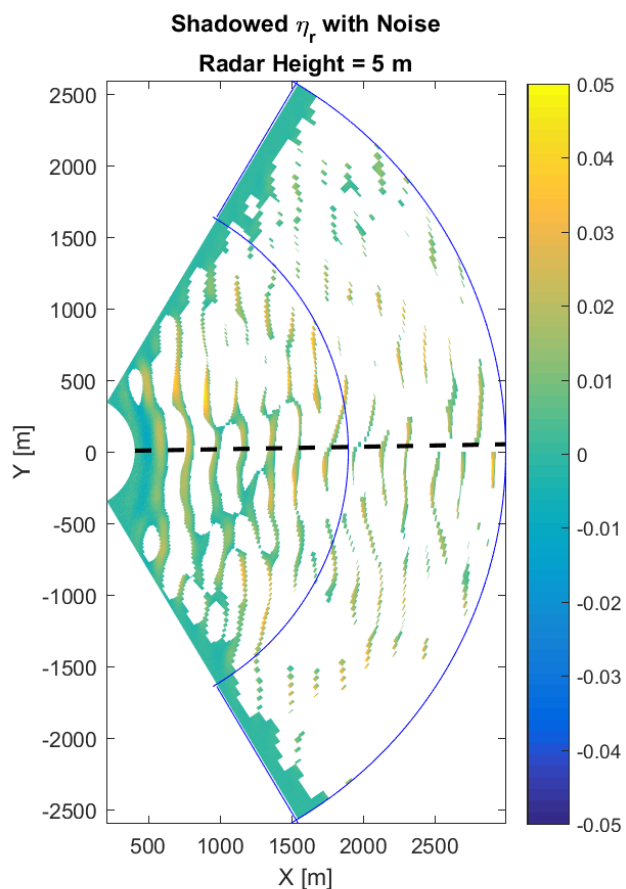
Algorithm Verification: Synthetic Input

Does not require radar imaging model

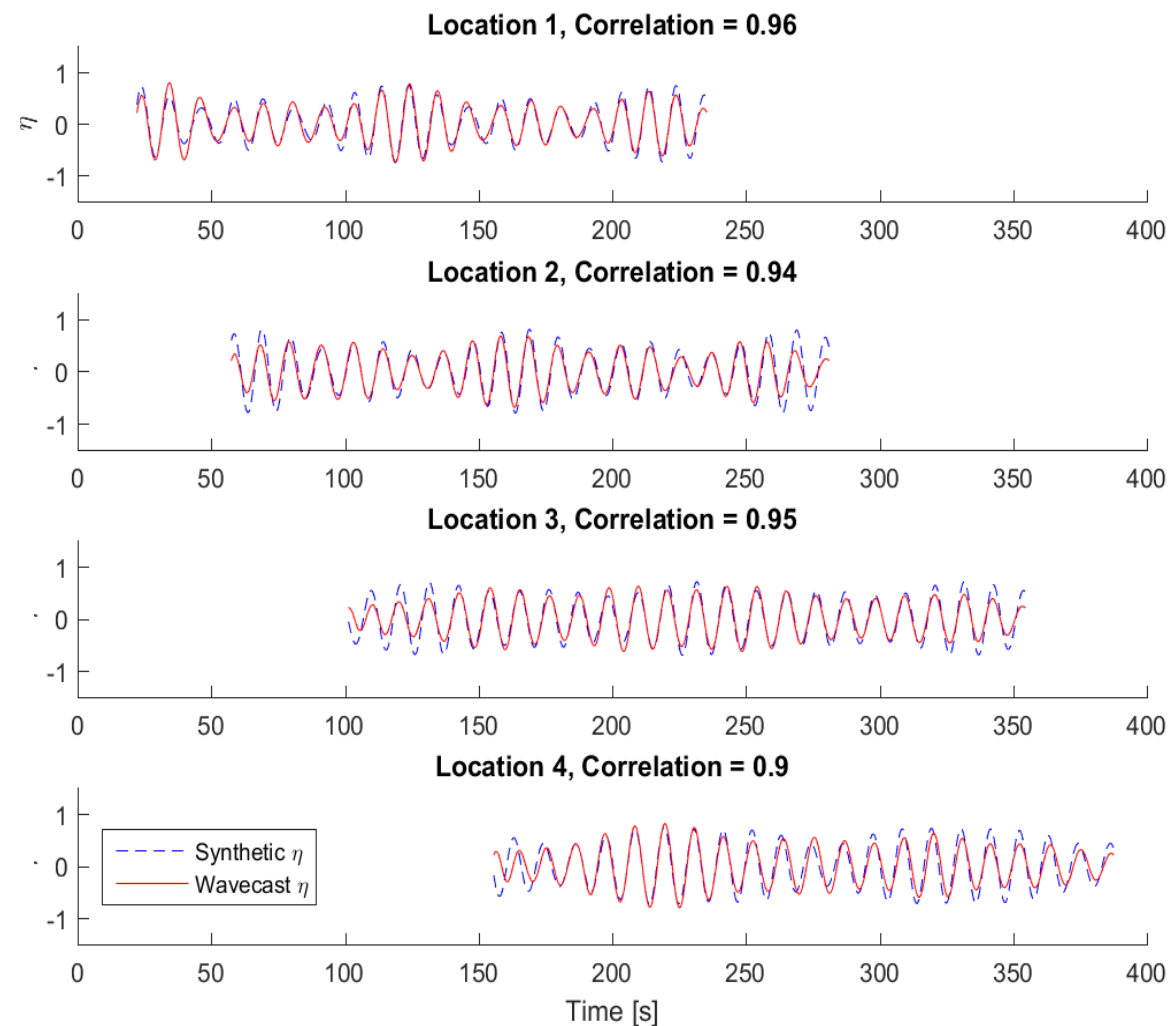
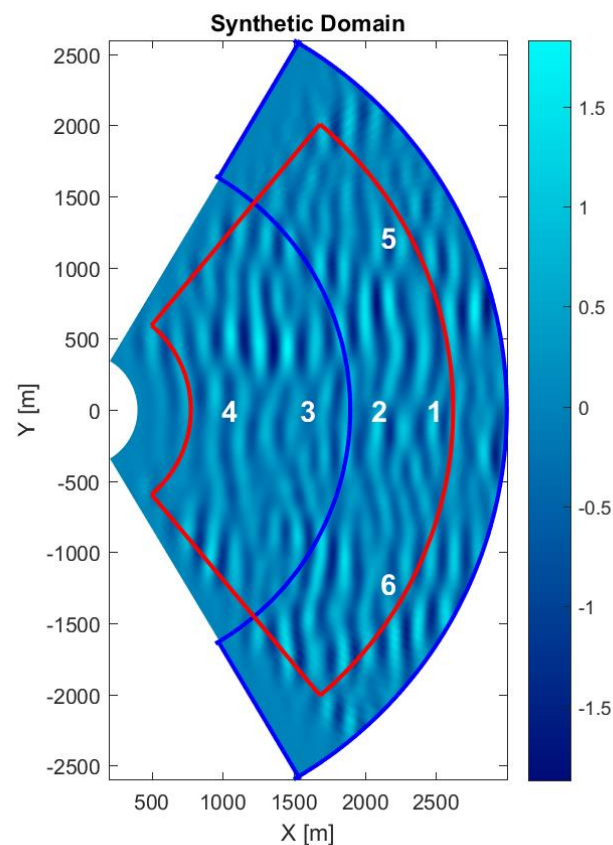


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Assimilated Synthetic Radial Slope



Reconstructed Surface Elevations



Field Data Collection: Santa Cruz, CA



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Array of
Spoo-drift
Buoys

Measurements:

η , x , y
GPS lat, lon

Non-Stationary:

20m scope
Anchor drift



OSU
Radar



GPS Compass

Measurements:
Heading (to 0.3°)
Lat, Lon (to
1.2m)

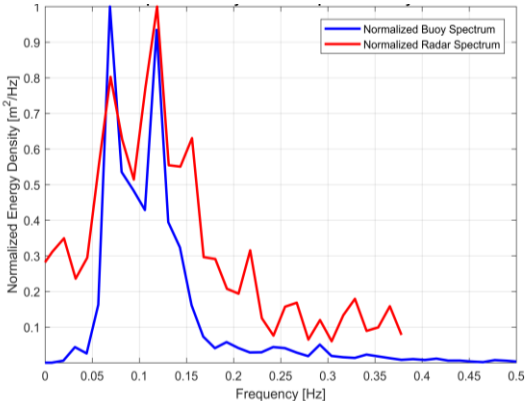
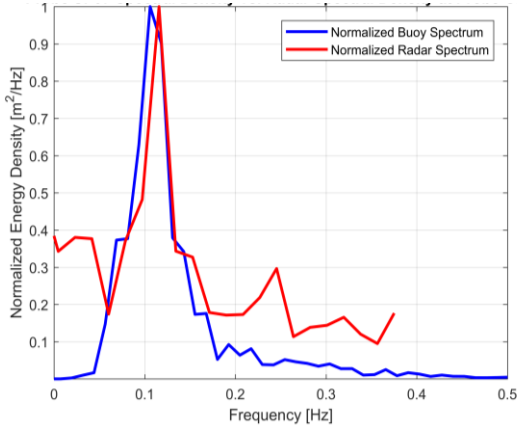
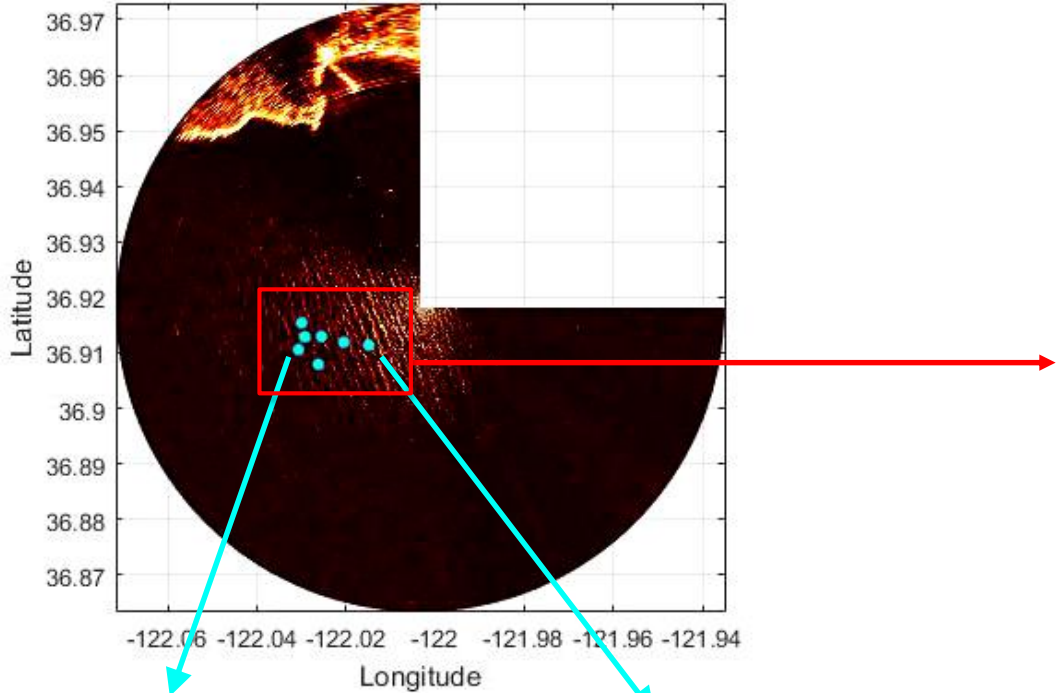
Non-Stationary:
~1 km/hr current

Rotation and
Heave on wave
timescales

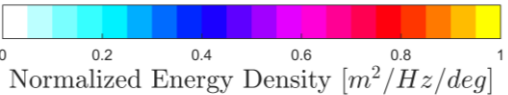
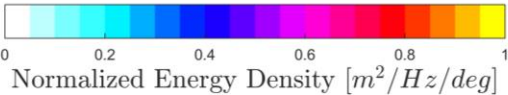
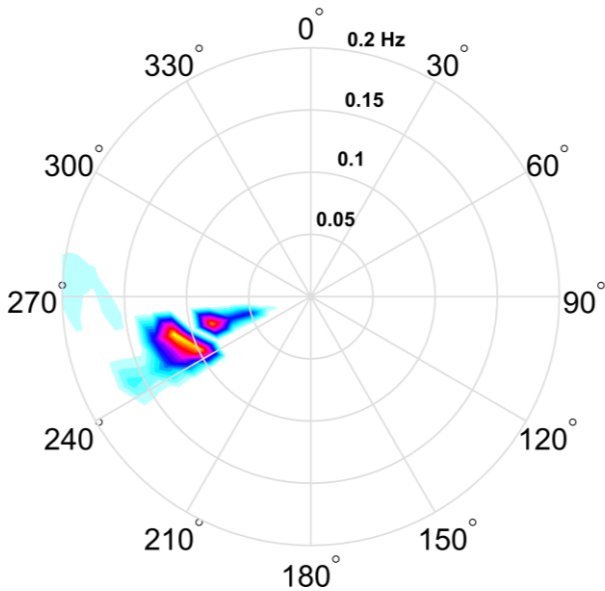
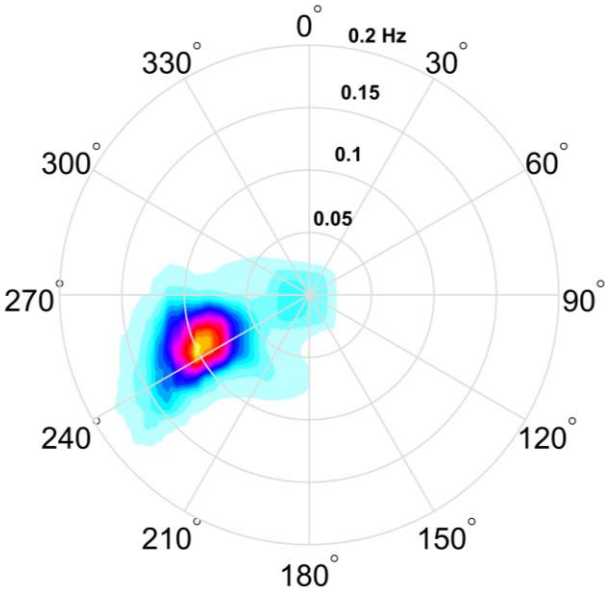
Rectified Radar Imagery



Monterey, CA: Rectified Intensity
22-Mar-2017 18:09:59 UTC



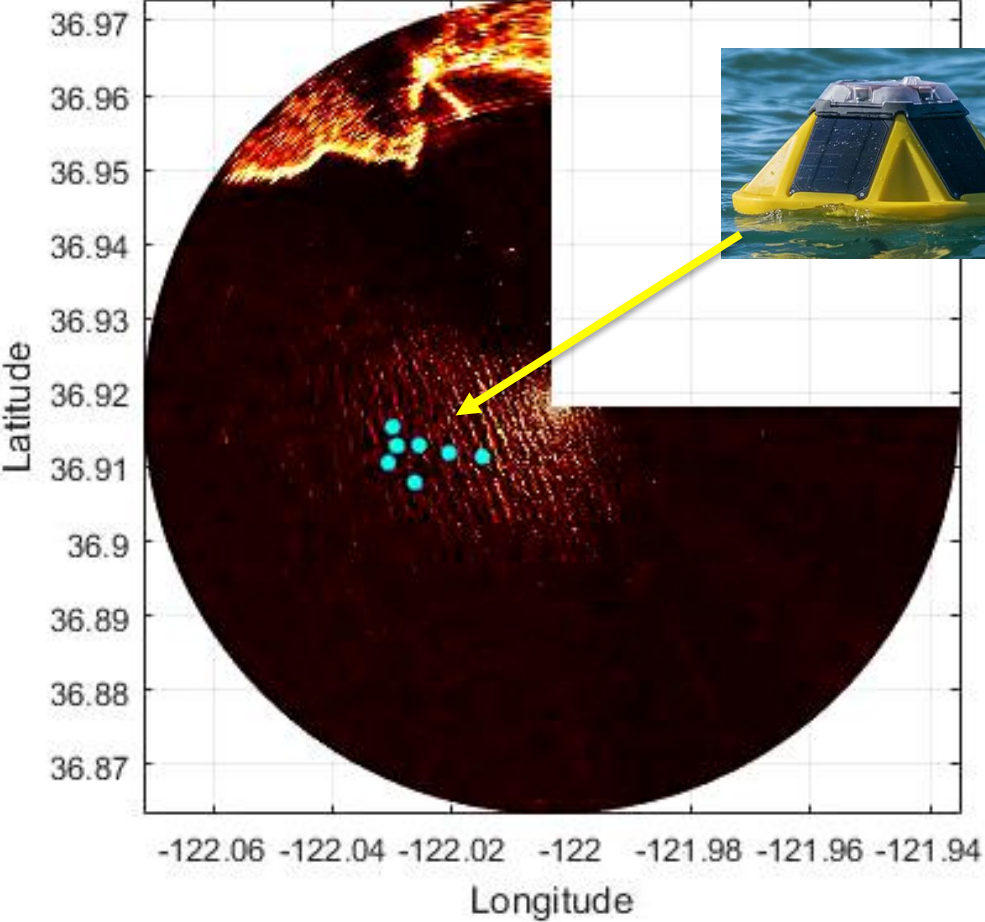
Radar Directional Spectrum Buoy Directional Spectrum



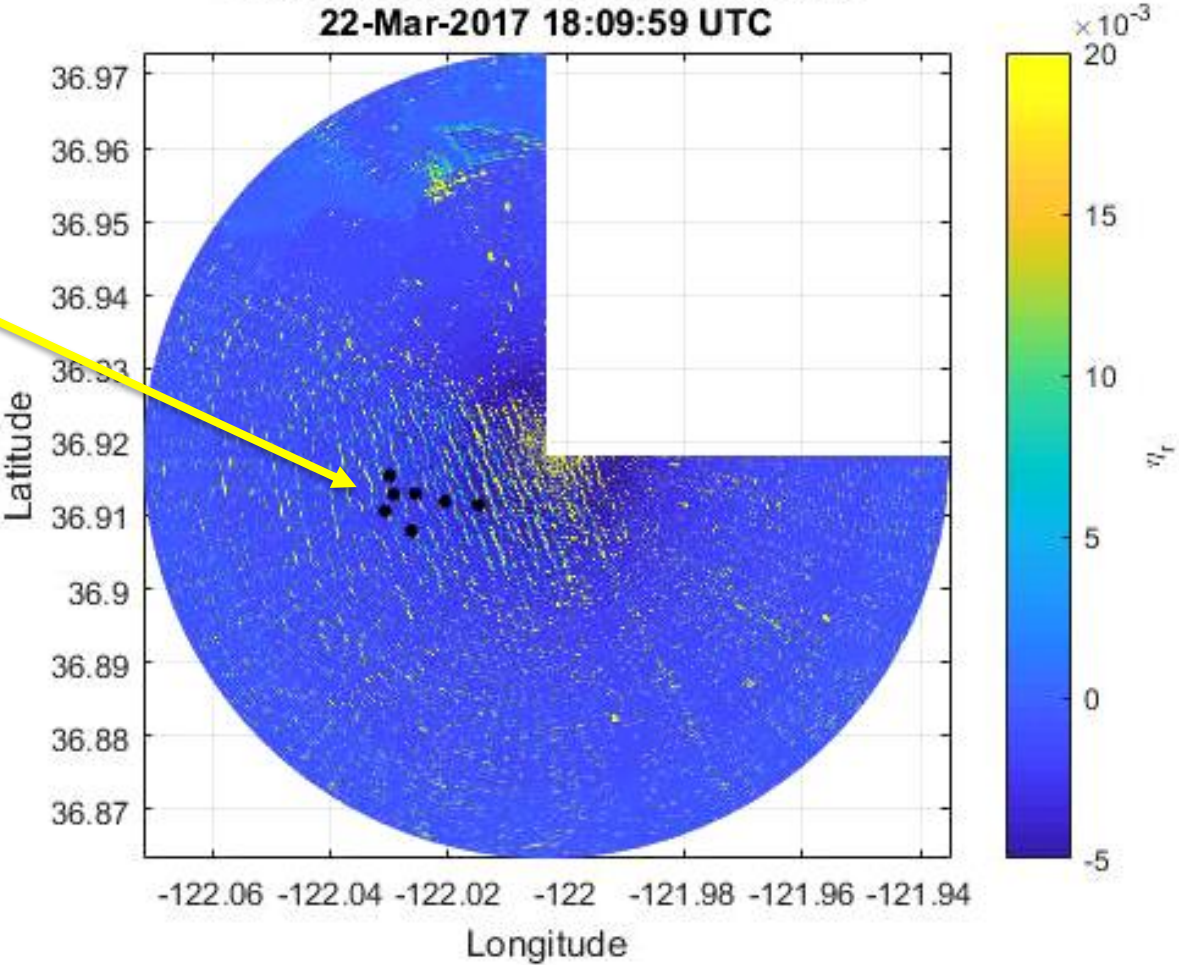
Rectified Radar Imagery → Radial Slope



Monterey, CA: Rectified Intensity
22-Mar-2017 18:09:59 UTC



Monterey, CA: Rectified Radial Slope
22-Mar-2017 18:09:59 UTC



Phase-Resolved Slope Comparison



Convert buoy η to wave slope

Time derivative:
$$\eta_t = -\frac{H\sigma}{2} \sin(kx + \sigma t)$$

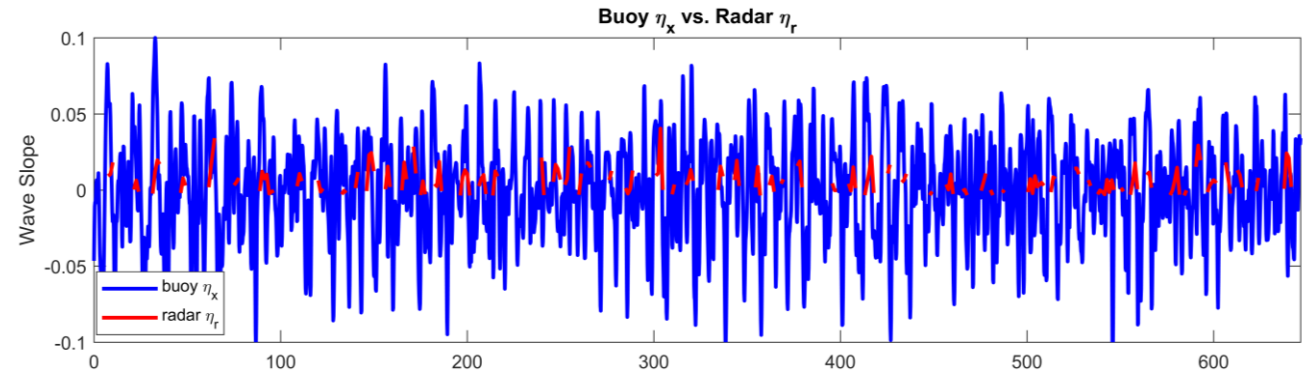
Spatial derivative:
$$\eta_x = -\frac{Hk}{2} \sin(kx + \sigma t)$$

Relate slope to time derivative:
$$\eta_x = \frac{\eta_t k}{\sigma} = \boxed{\frac{\eta_t}{c}}$$

T from peak frequency, L from dispersion relation:
$$c = \frac{L}{T}$$

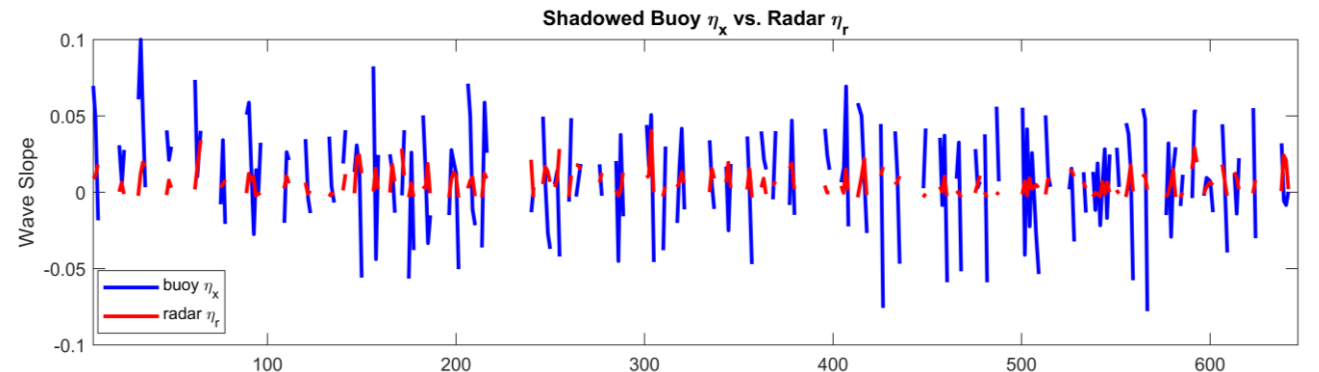
Convert Buoy η to Slope:

Buoy η_x and Radar η_r



Add Shadowing:

Shadowed Buoy η_x and Radar η_r



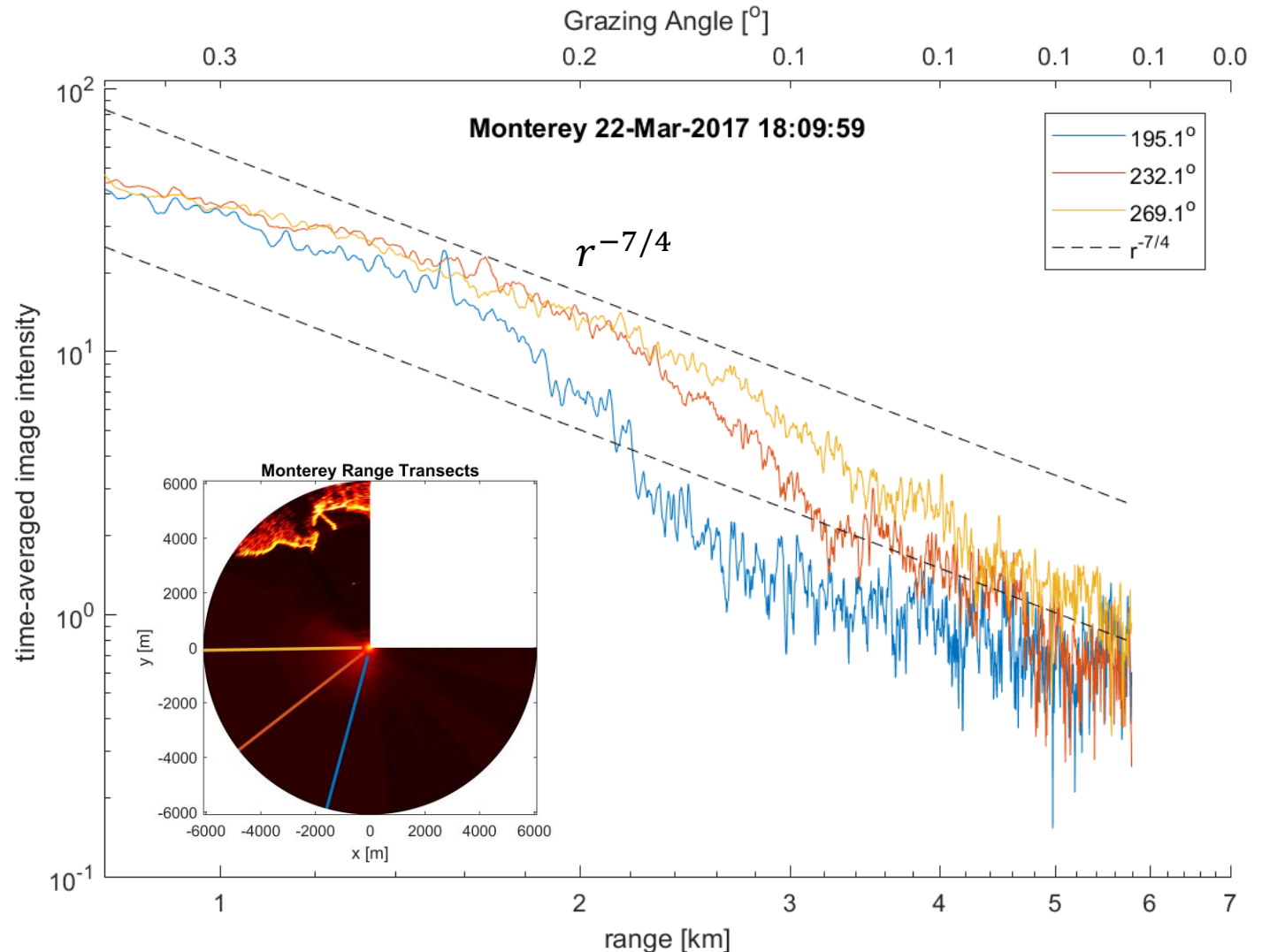
Lessons Learned from Shipboard Testing



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- Successful image rectification
- Radar imaging model gives underestimate ($\sim 1/3$) of expected wave slope
- Intensity roll-off is inconsistent
- **Radar height is highly-variable**

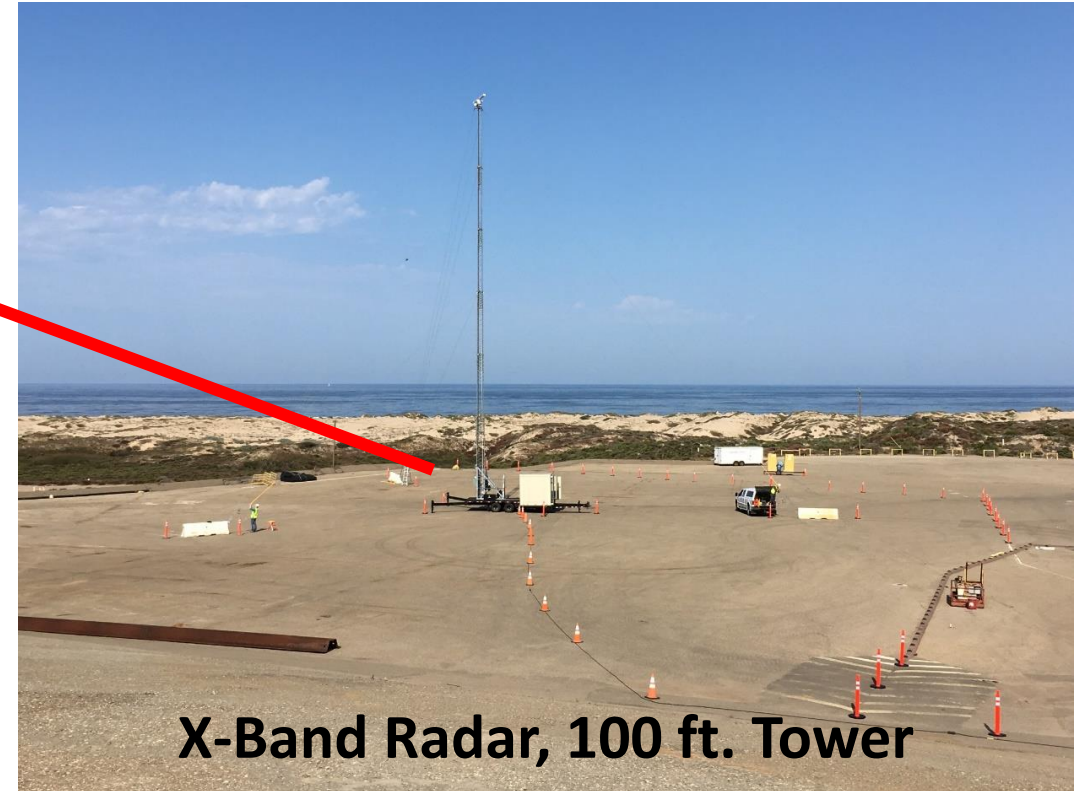
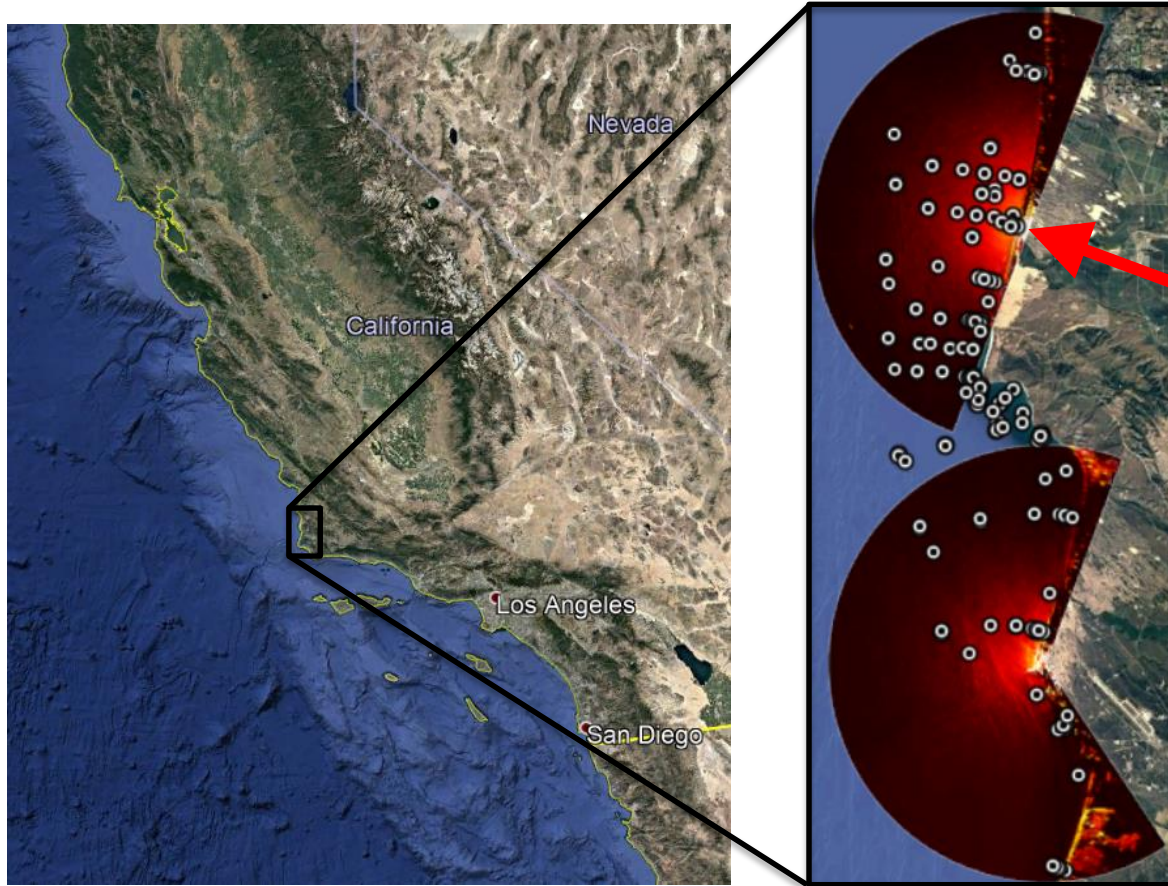
$$\eta_r(r, \phi) = \frac{h}{r} \left[\frac{I(r, \phi)}{\langle I(r, \phi) \rangle} - 1 \right]$$



Shore-Based Radar: Inner Shelf DRI

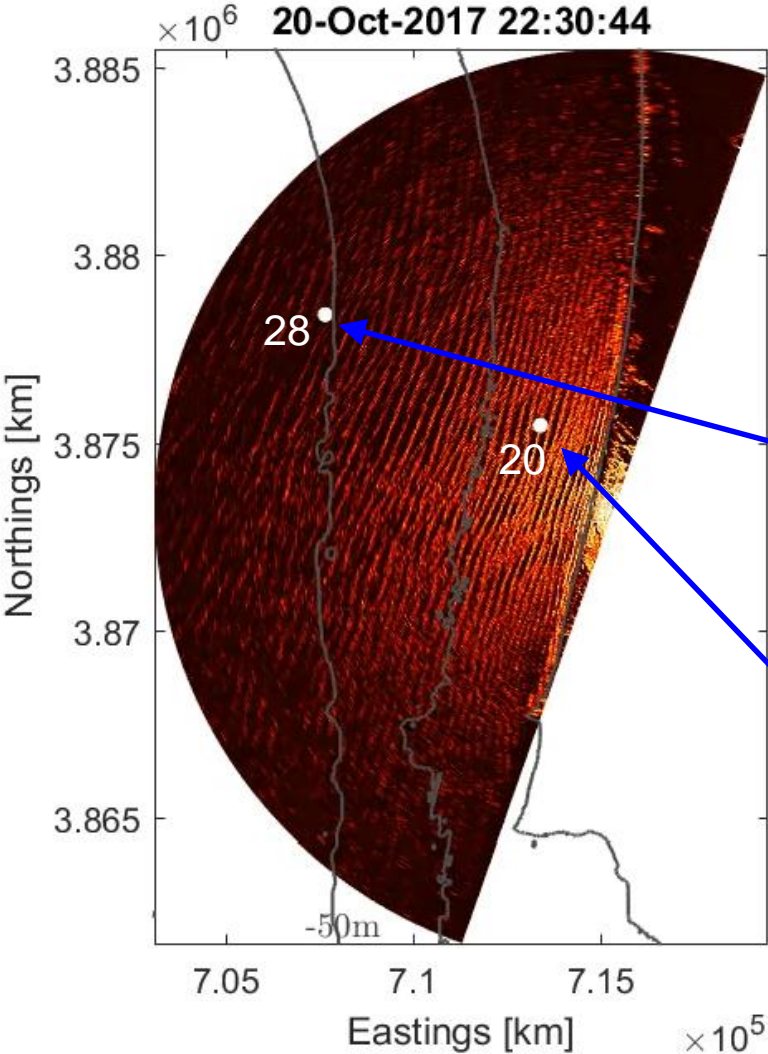


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X-Band Radar, 100 ft. Tower

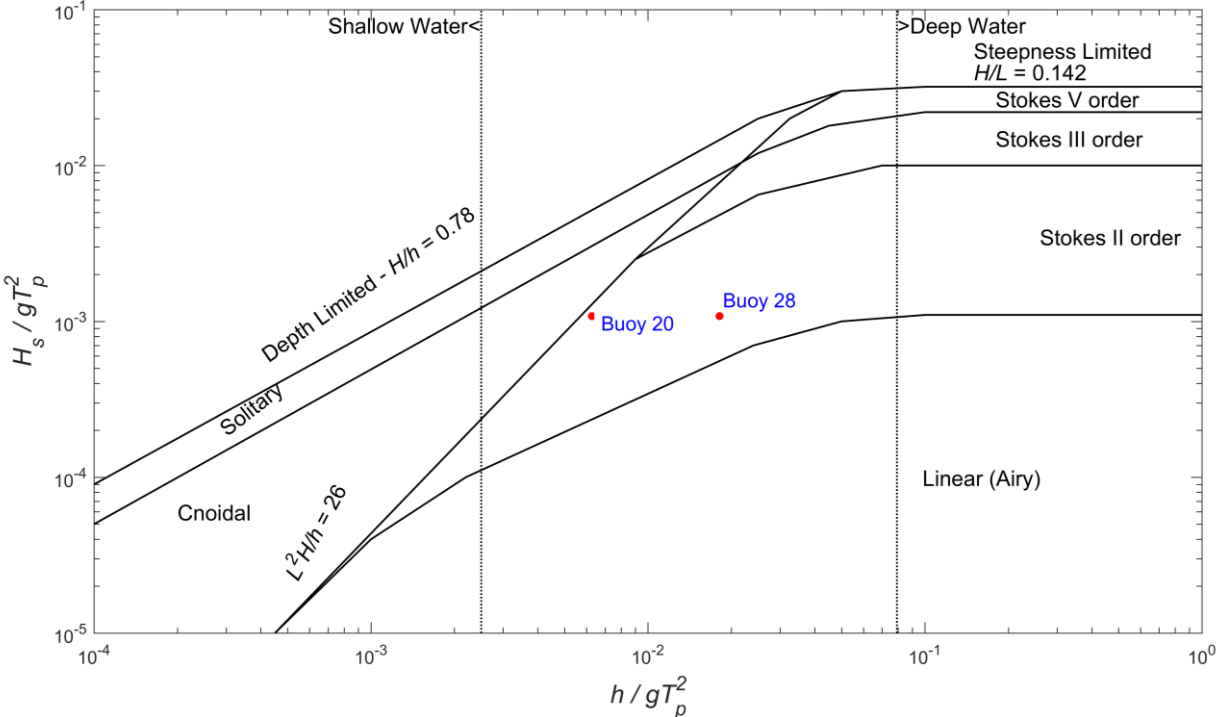
In situ Data Availability



Buoy 28
 $h = 52\text{m}$
 $H_s = 3.1\text{m}$
 $T_p = 17.1\text{ sec}$

Buoy 20
 $h = 18\text{m}$
 $H_s = 3.1\text{m}$
 $T_p = 17.1\text{ sec}$

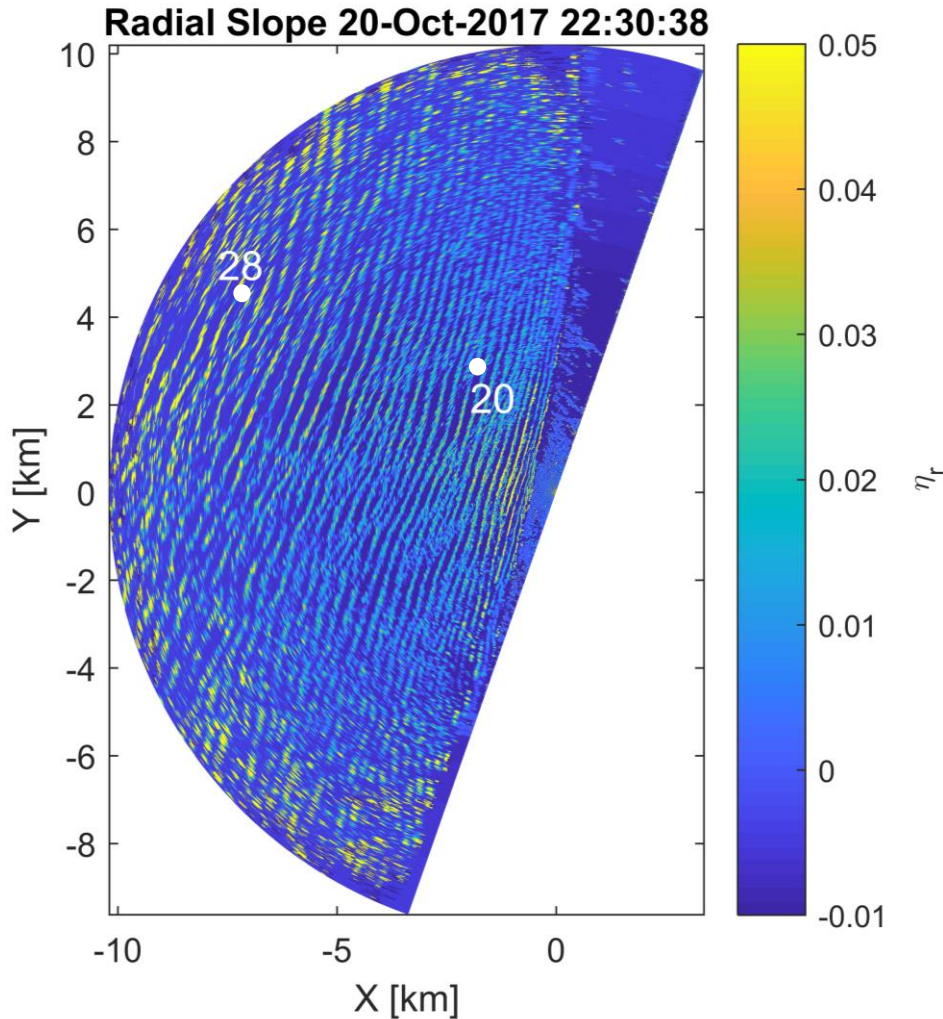
Linear applicability?



Applicability of Radar Imaging Model



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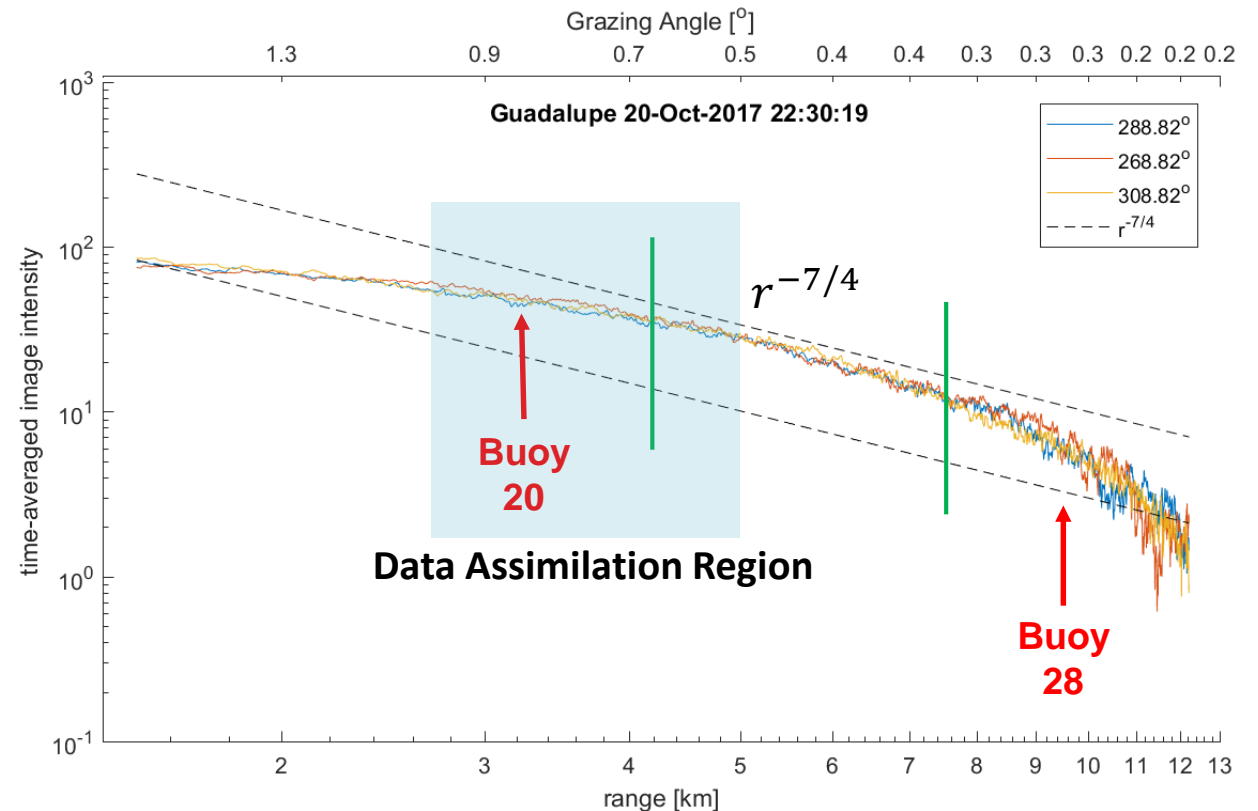


Convert Radar to Radial Slope

Radar imaging model
(Lyzenga & Walker, 2015: GRSL)

$$\eta_r(r, \phi) = \frac{h}{r} \left[\frac{I(r, \phi)}{\langle I(r, \phi) \rangle} - 1 \right]$$

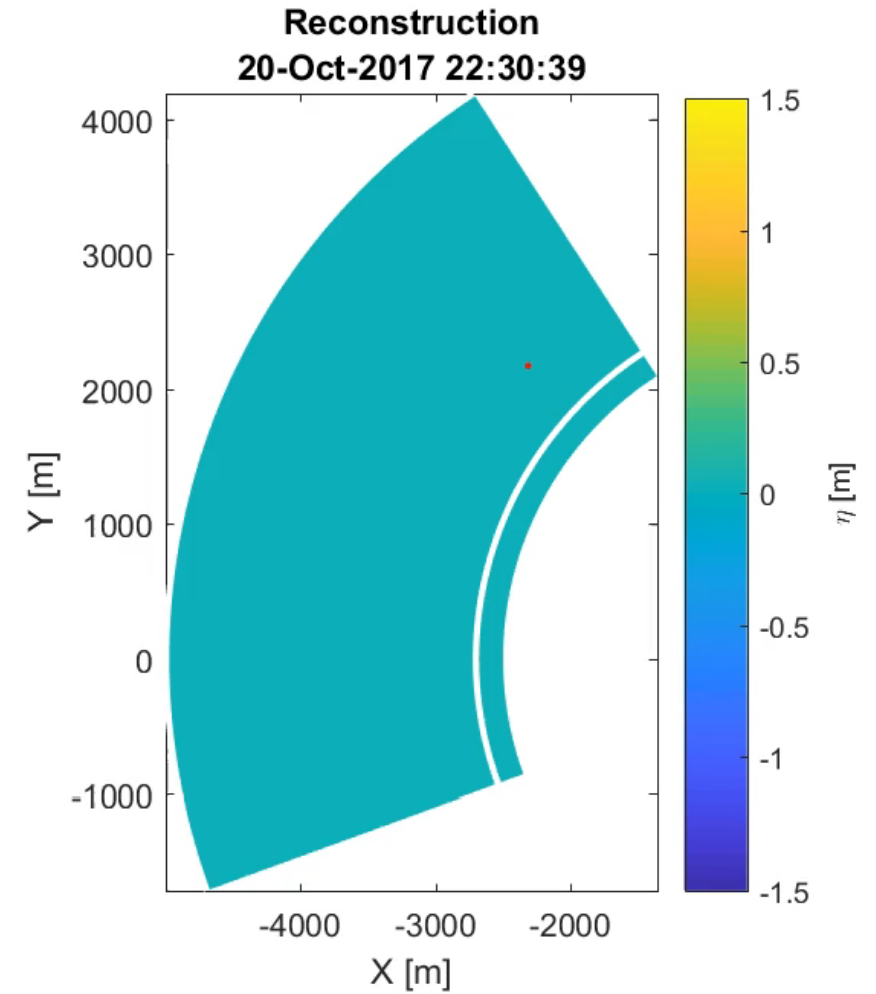
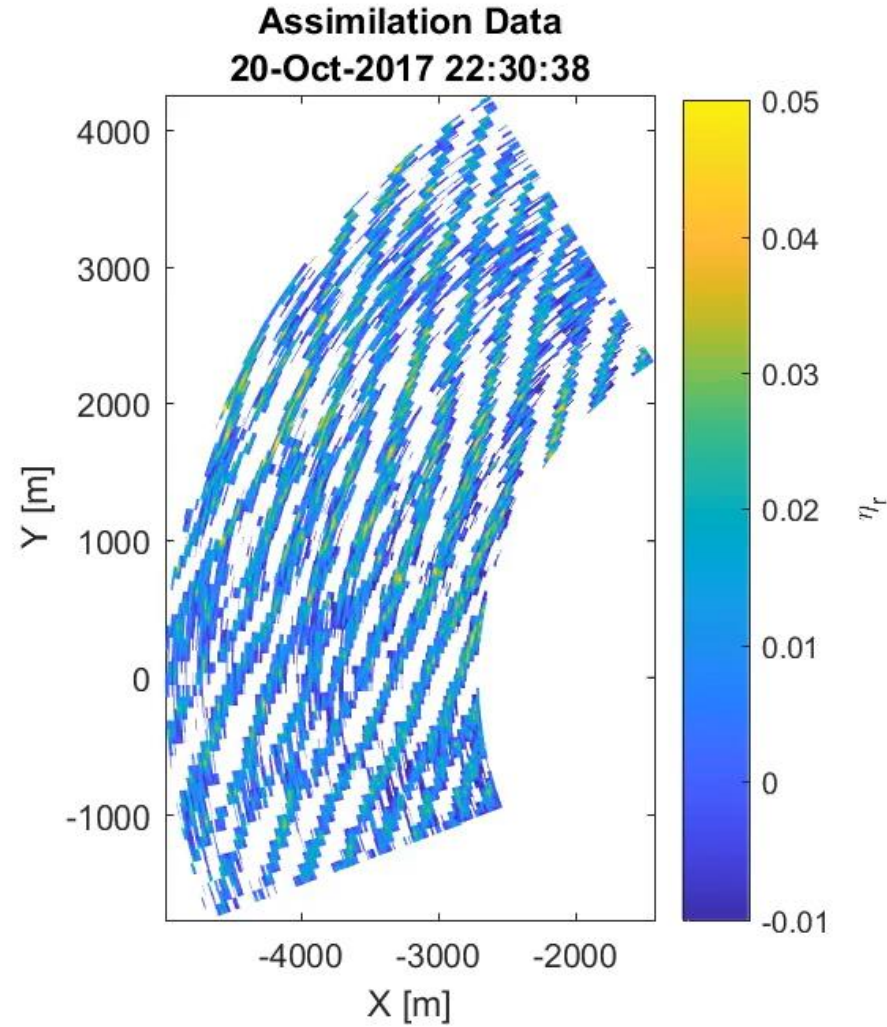
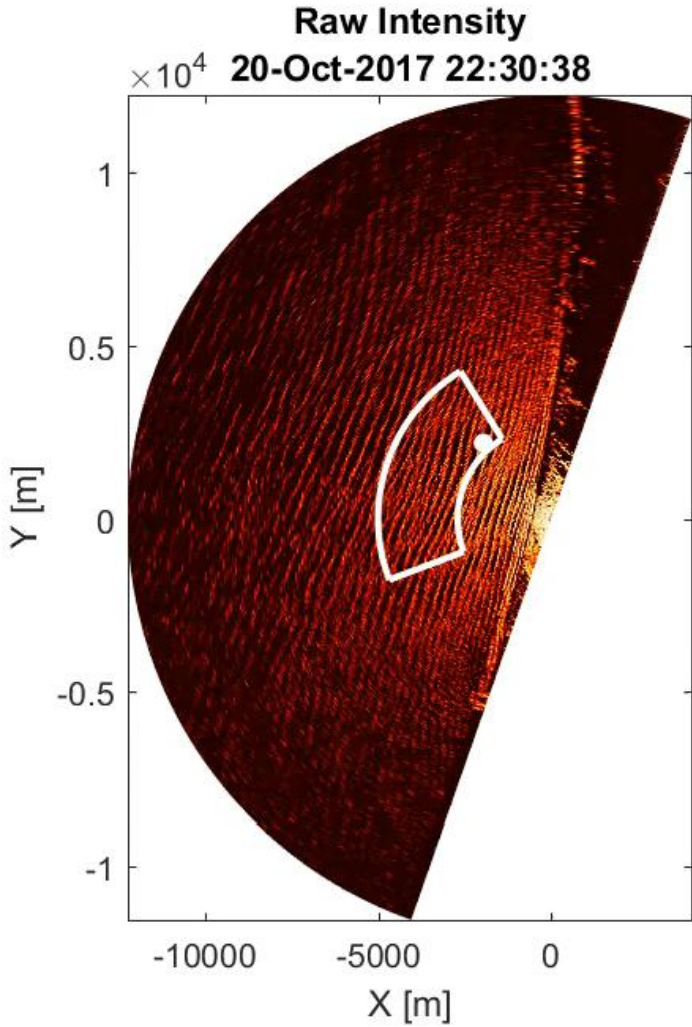
- η_r = radial component of slope
- h = radar height
- r = range
- I = Intensity
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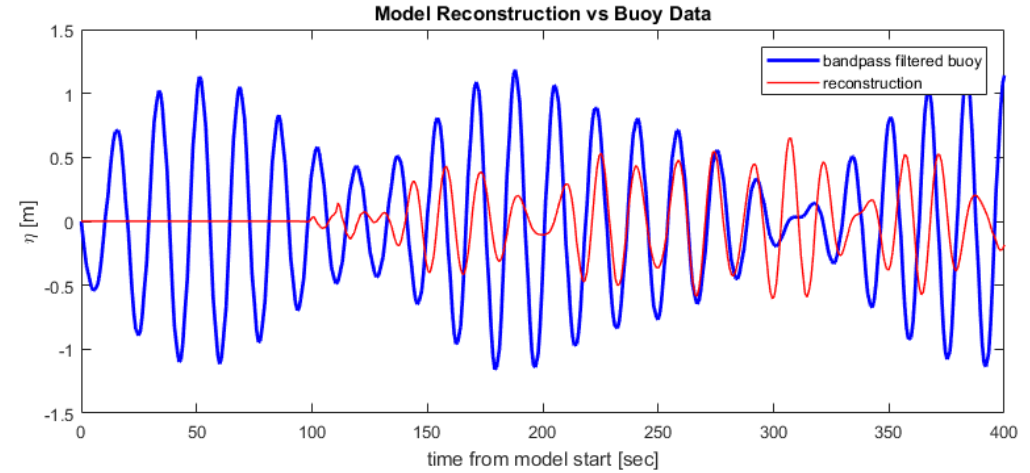
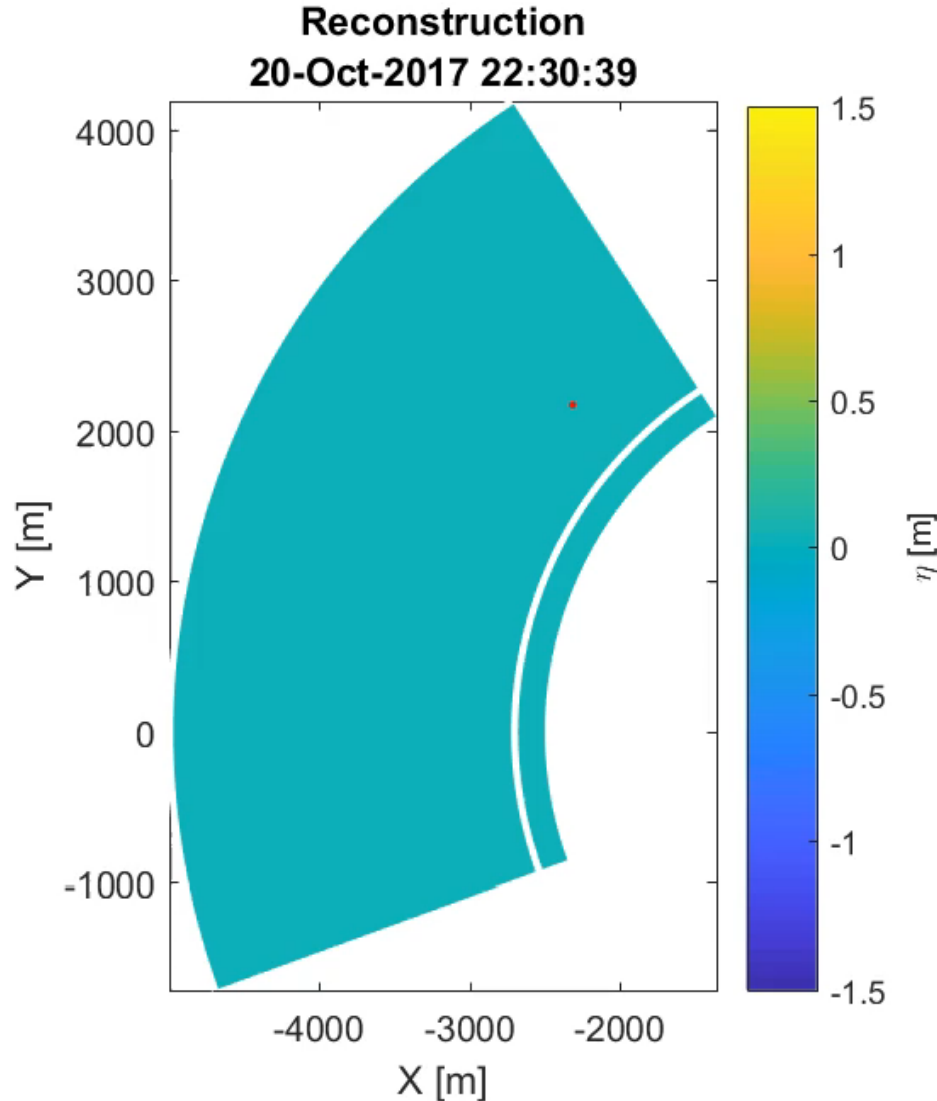
Surface Elevation Reconstruction



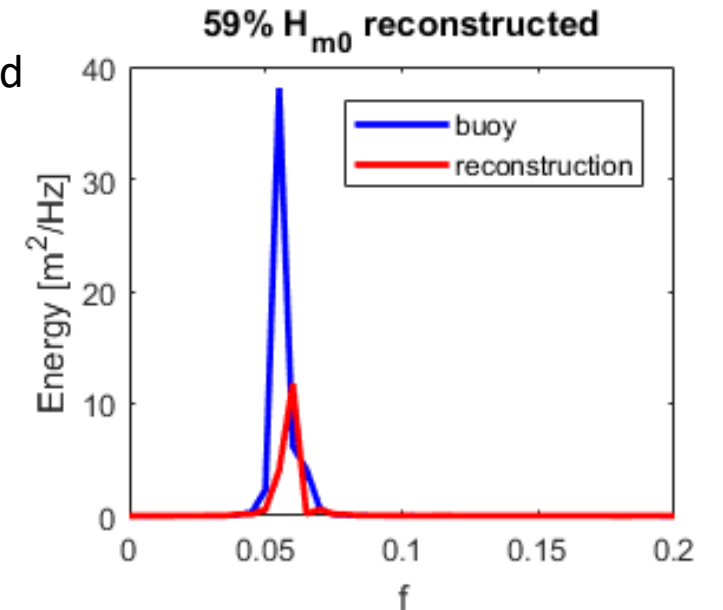
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Surface Elevation Reconstruction



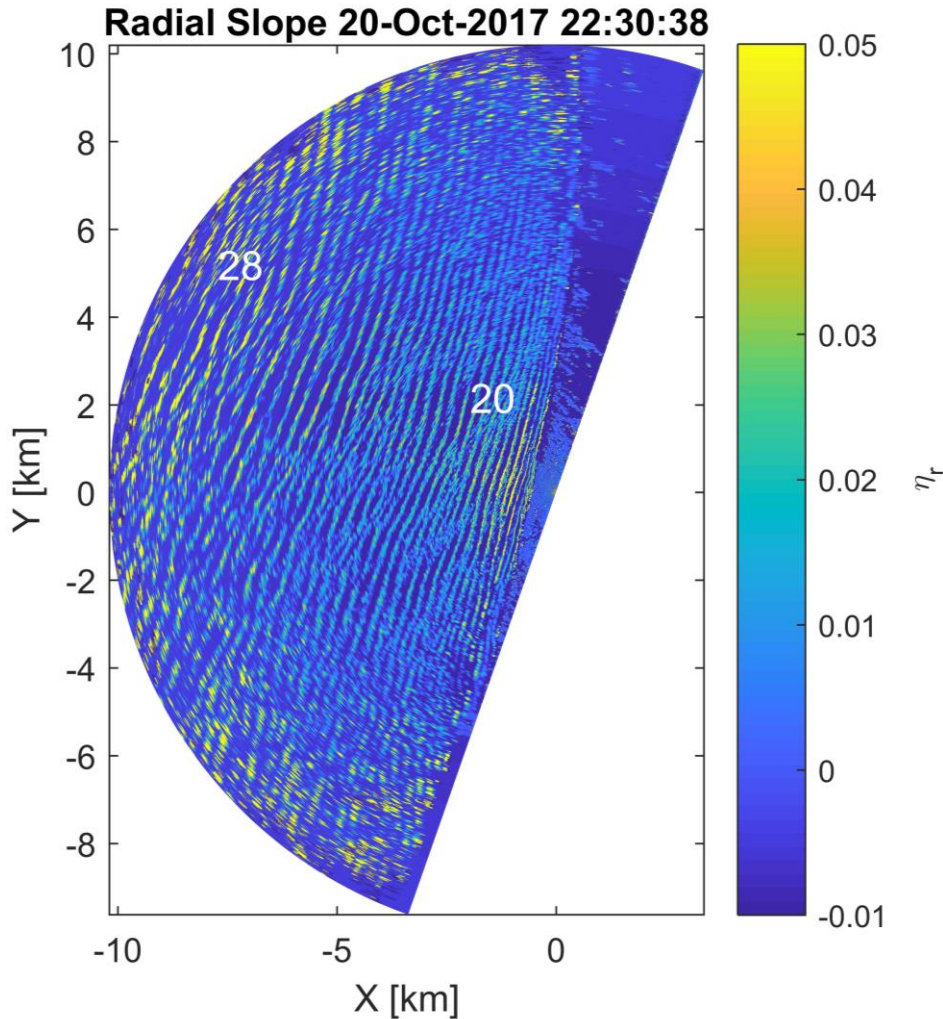
- Band-pass filter buoy around MSE solution frequency
- H_{m0} Buoy = 2.8 m
- H_{m0} Reconstructed = 1.7 m
- Solution subject to linear limitations
- Outside imaging model applicability



Applicability of Radar Imaging Model



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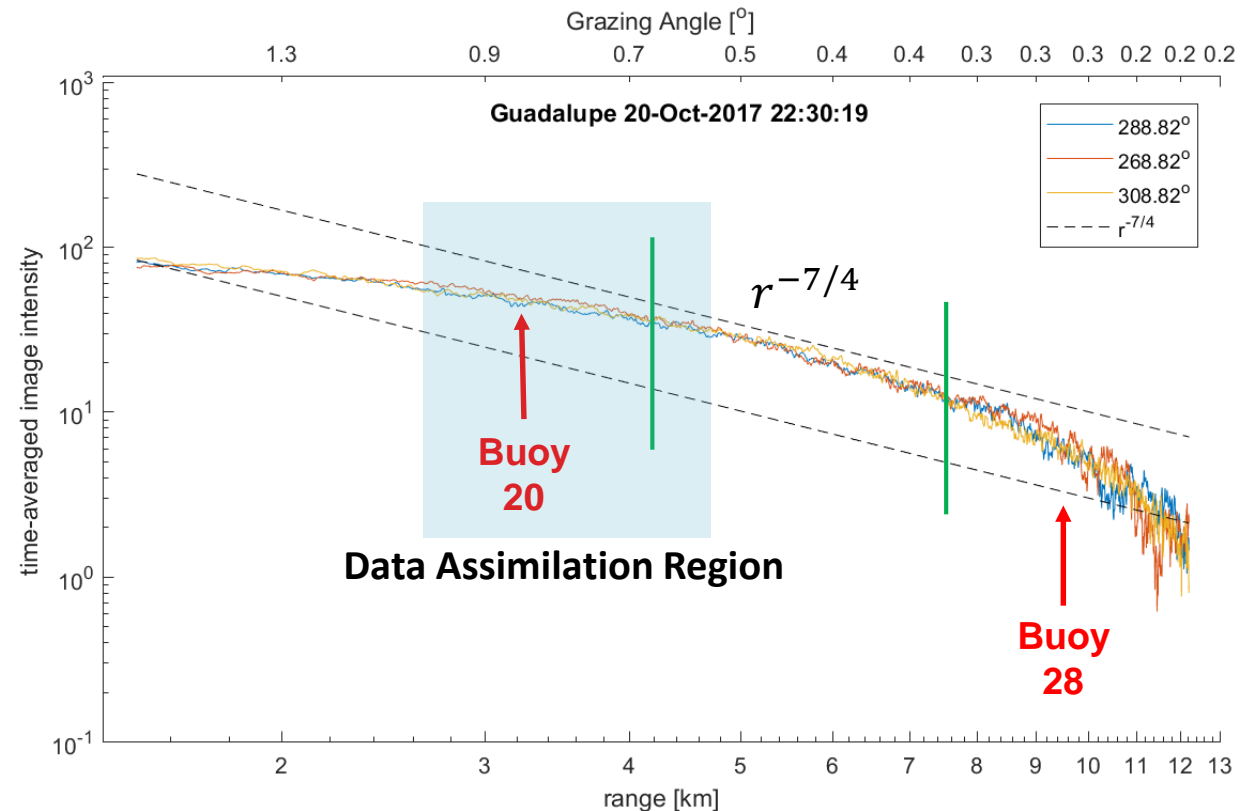


Convert Radar to Radial Slope

Radar imaging model
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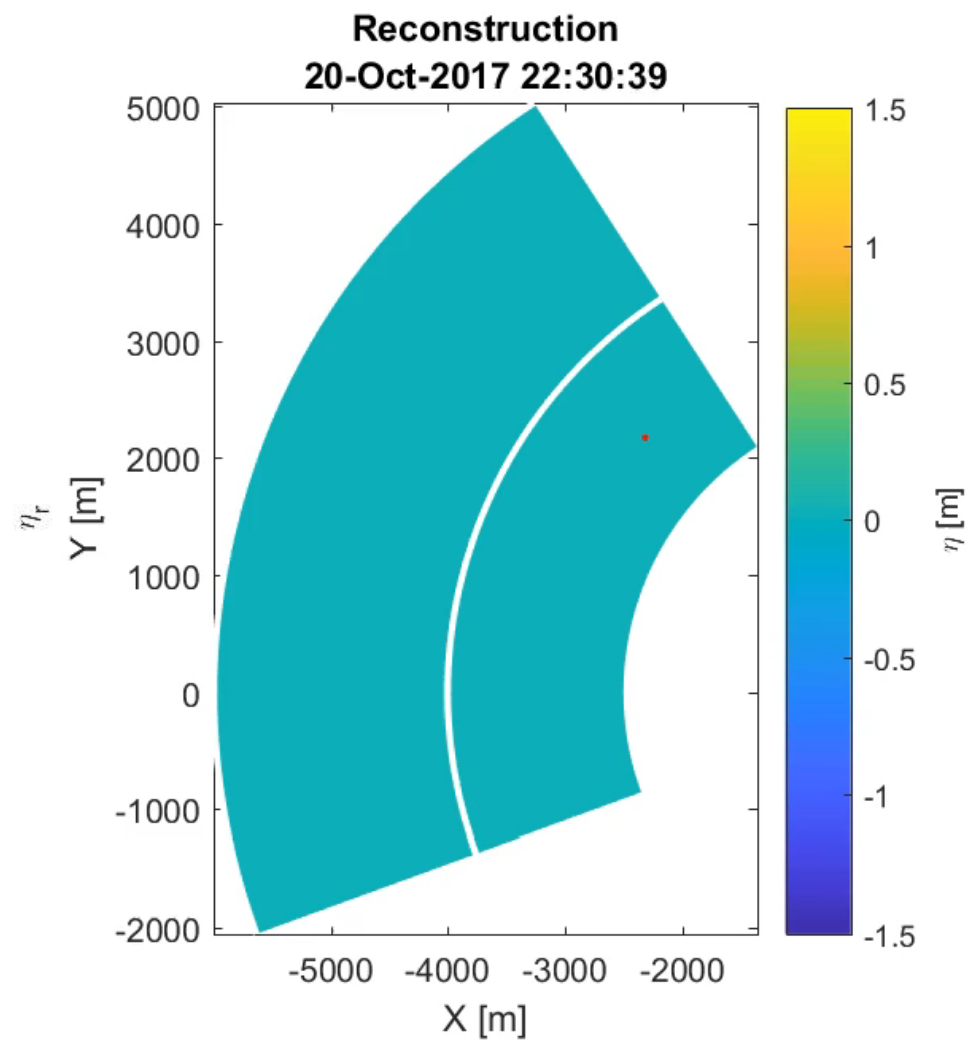
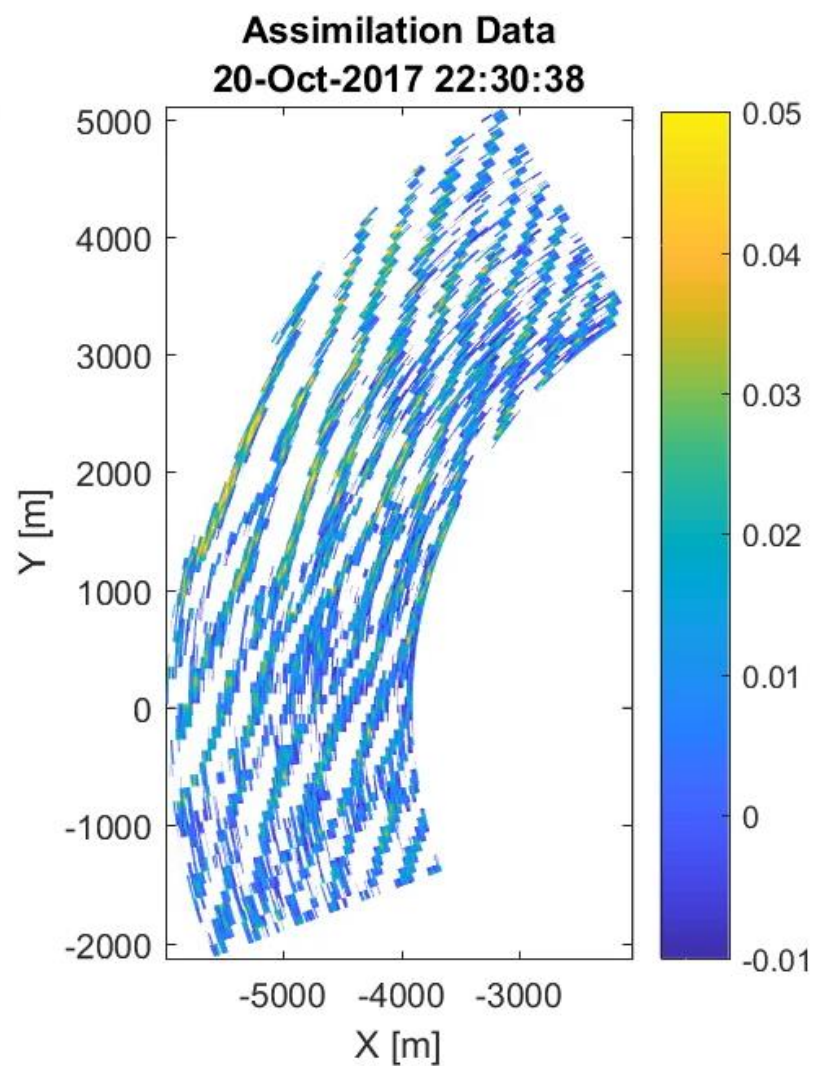
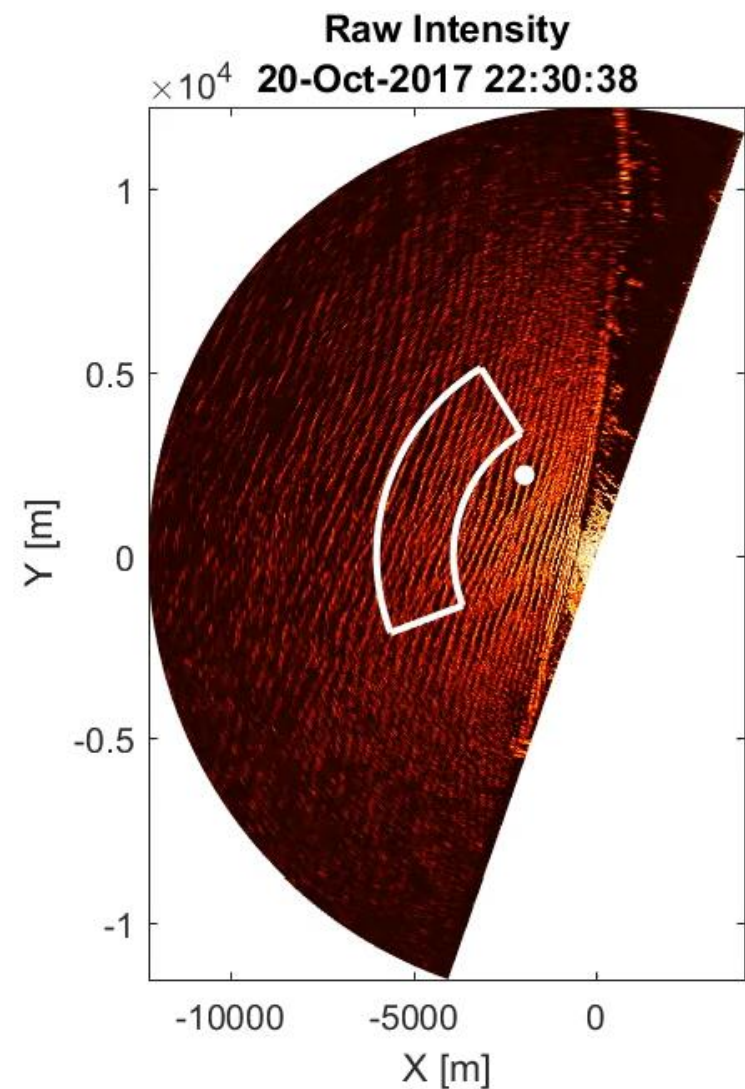
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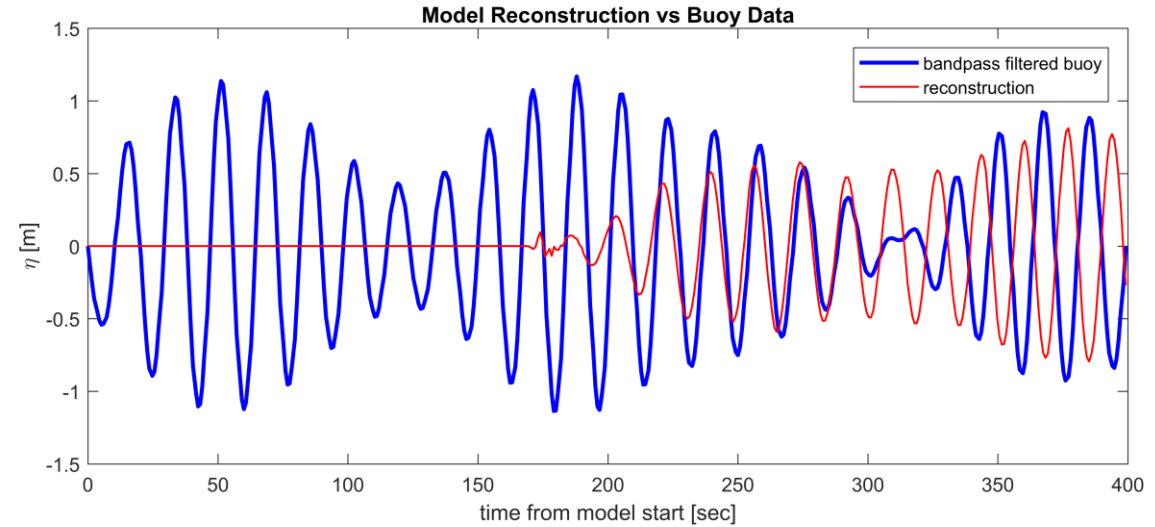
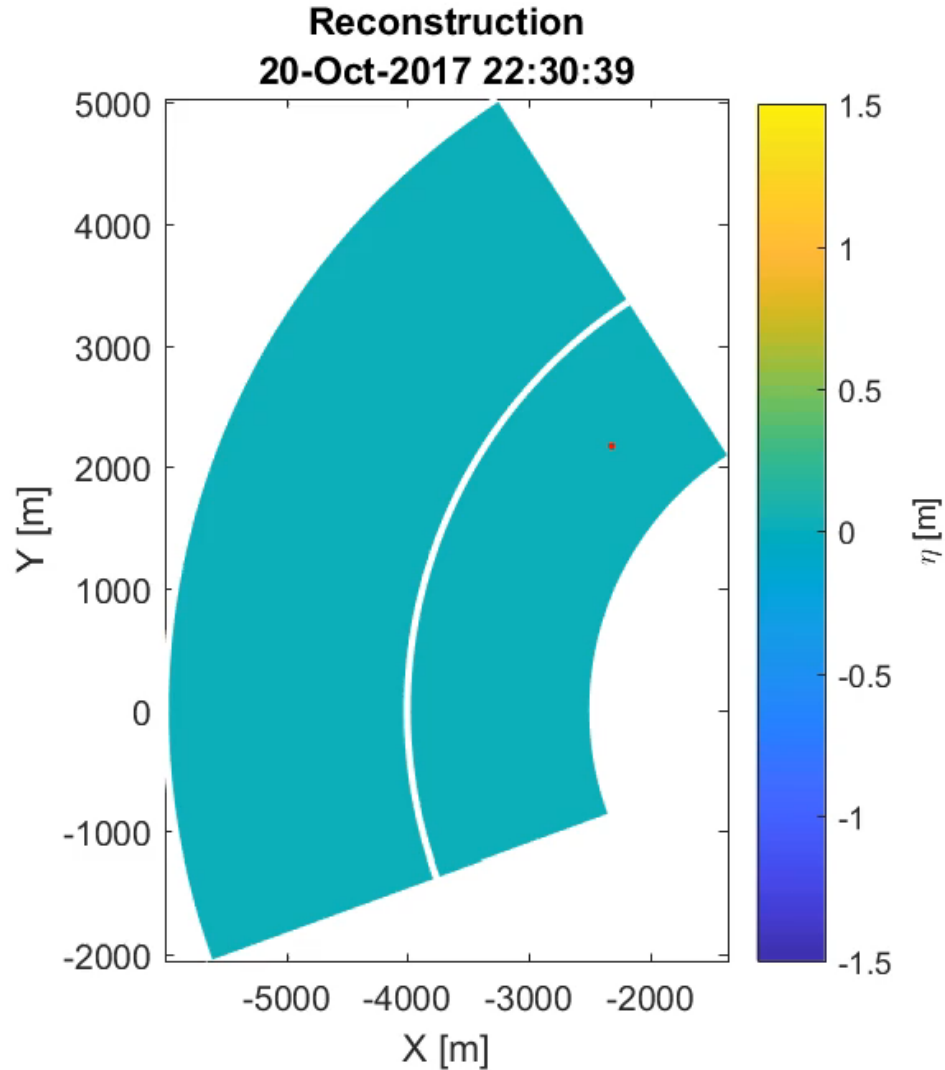
Surface Elevation Prediction



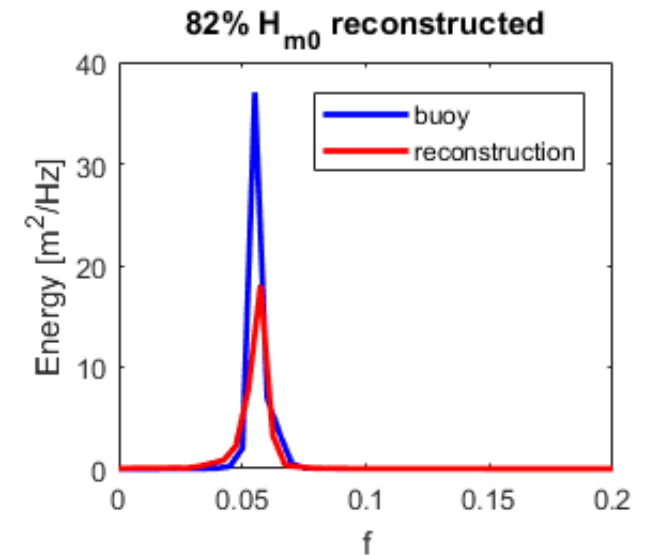
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Surface Elevation Prediction



- Waves are “predicted”
- Band-pass filter buoy around MSE solution frequency
- H_{m0} Buoy = 2.8 m
- H_{m0} Reconstructed = 2.3 m
- Solution subject to linear limitations



Summary



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- Development of a novel surface elevation reconstruction method from radar imagery
- Successful synthetic validation using radar-like model inputs
- Vessel-based radar observations contain too much uncertainty for reconstruction at this time
 - Inconsistent intensity roll-off
 - Radar height too variable
- Shore-based radar showing promising results for phase-resolved reconstruction and prediction

Thank you!



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Collaborations:

Dr. Patrick Lynett



Dr. David Walker



In situ data:



Funding:



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